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BLOCK ENCRYPTION METHOD AND SCHEMES FOR DATA CONFIDENTIALITY AND INTEGRITY PROTECTION

CROSS-REFERENCE TO RELATED APPLICATION(S)

This application claims the benefit of priority under 35 U.S.C. §119(e) of provisional application serial number 60/179,147 entitled "XCBC Encryption Schemes," filed on January 31, 2000, the disclosure of which is incorporated herein in its entirety.

FIELD OF THE INVENTION

The present invention relates to the technical field of secure data communication over insecure channels and secure data storage on insecure media using data encryption techniques. Specifically, the invention relates to encryption methods, program products and systems that achieve both data confidentiality and integrity in a single pass over the data with a single cryptographic primitive and allow encryption and decryption in sequential, parallel or pipelined manners.

BACKGROUND OF THE INVENTION

It is generally accepted that whenever two or more parties want to communicate over an insecure channel, encryption with a shared secret key can effectively hide all information about the message contents thereby providing data confidentiality (secrecy). However, an insecure channel allows a third party (i.e., an adversary) to modify the other parties' encrypted messages and insert encrypted messages of their own into the insecure channel, not just to read and analyze the other parties' encrypted messages. Furthermore, message encryption cannot provide the ability of each of the two communicating parties to determine that a message received was, in fact, generated by the other party. That is, message encryption, by itself, does not guarantee the integrity (authenticity) of the message data. For example, an adversary can alter the ciphertext of the encrypted message (sections deleted, rearranged, added to, etc.) after it is generated, transmitted via, or stored in, the insecure channel in a way that may cause undetectable message-plaintext alteration at decryption by the recipient (viz., A.J. Menezes, P.C. van Oorschot, and S.A. Vanstone: "Handbook of Applied Cryptography", CRC Press, Boca Raton, 1997). Therefore, it is

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desirable that encryption methods provide data integrity in addition to data confidentiality for communication over insecure channels. Such methods are also desirable whenever a party stores a set of data on an insecure storage device that can be accessed by other parties which are not intended to read or alter that data (viz., V.D. Gligor and B. G. Lindsay: "Object Migration and Authentication," IEEE Transactions on Software Engineering, SE-5 Vol. 6, November 1979).

Block ciphers have long been established among the cryptographic primitives of choice for implementing general data encryption. A block cipher uses a key to transform data (plaintext) blocks of fixed length into ciphertext blocks of the same length. To encrypt data consisting of multiple blocks, encryption schemes, also known as encryption modes to those skilled in the art, typically use block ciphers. A well-known block cipher is provided by the U.S. Data Encryption Standard (DES), which uses a 56-bit key and has a block size of 64 bits (viz., NBS FIPS Pub 46, titled "Data Encryption Standard," National Bureau of Standards, U.S. Department of Commerce, January 1977). DES can be used with different modes (or schemes) of operation to process multi-block data (viz., NBS FIPS Pub 81, titled "DES Modes of Operation", National Bureau of Standards, U.S. Department of Commerce, December 1980), of which the most used one is the Cipher Block Chaining (CBC) mode. It is well-known in the art that the CBC mode of encryption can use other block cipher algorithms, not just that of DES.

CBC takes as input data a plaintext string $x = x_1 \dots x_n$, an initialization vector, IV, and a key K. The size of each block x_i and of the IV is ℓ bits and that of key K is k bits (e.g., ℓ =64 and k=56 in DES). The encryption of plaintext x is denoted by ciphertext $z = z_1 \dots z_n$, and is defined by equation $z_i = F_K(x_i \oplus z_{i-1})$, where $i = 1, \dots, n, z_0 = IV$, \oplus is the bit-wise exclusive-or operation, and F_K is the block cipher F using key K. Key K is usually chosen uniformly at random. Decryption of ciphertext $z = z_1 \dots z_n$ is performed by F^{-1}_K , the inverse of the block cipher F using key K, to obtain plaintext $x = x_1 \dots x_n$, and is defined by equation $x_i = F^{-1}_K(z_i) \oplus z_{i-1}$, where $i = 1, \dots, n, z_0 = IV$.

Also well-known in the art are other encryption schemes, such as the Plaintext-Ciphertext Block Chaining (PCBC) (viz., C. H. Meyer and S. M. Matyas: "Cryptography; A New Dimension in Computer Data Security", John Wiley & Sons, New York, 1982 (second printing)), stateful or counter-based (XORC), and stateless (XOR\$), XOR schemes (viz., M.

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Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403), and the "infinite garble extension" (viz., C.M. Campbell: "Design and Specification of Cryptographic Capabilities," in Computer Security and the Data Encryption Standard, (D.K. Brandstad (ed.)) National Bureau of Standards Special Publications 500-27, U.S. Department of Commerce, February 1978, pp. 54-66). The encryption and decryption equations of these schemes illustrate in a brief manner how these schemes use F_K , a block cipher F with key K, and its inverse F^{-1}_K , to process the plaintext and ciphertext blocks of a message or data. For example, in the PCBC scheme, encryption of plaintext string $x = x_1 \dots x_n$ to obtain ciphertext string $z = z_1 \dots z_n$ is defined by the following equation:

$$z_i = F_K(x_i \oplus z_{i-1} \oplus x_{i-1}), x_0 = IV_1, z_0 = IV_2, i = 1, ..., n,$$

where F_K is the block cipher F using secret key K. In this scheme, decryption of ciphertext string $z = z_1 \dots z_n$ to obtain plaintext string $x = x_1 \dots x_n$, is defined by the following equation:

$$x_i = F^{-1}_K(z_i) \oplus z_{i-1} \oplus x_{i-1}, x_0 = IV_1, z_0 = IV_2, i = 1, ..., n$$

where and F⁻¹_K is the inverse of the block cipher F using secret key K.

In the "infinite garble extension" scheme, encryption of plaintext string $x = x_1 \dots x_n$ to obtain ciphertext string $z = z_1 \dots z_n$, is defined by the following equation:

$$z_i = F_K(x_i \oplus z_{i-1}) \oplus x_{i-1}, x_0 = IV_1, z_0 = IV_2, i = 1, ..., n$$

where F_K is the block cipher F using key K. In this scheme, decryption of ciphertext string $z = z_1 \dots z_n$ to obtain plaintext string $x = x_1 \dots x_n$, is defined by the following equation:

$$x_{i} = F^{-1}_{K}(z_{i} \oplus x_{i-1}) \oplus z_{i-1}, x_{0} = IV_{1}, z_{0} = IV_{2}, i = 1, ..., n,$$

where F⁻¹_K is the inverse of block cipher F using secret key K.

The encryption and decryption equations of the stateful XOR (XORC) scheme use a counter, ctr, which is initialized to constant value c. Encryption of plaintext string $x = x_1...$ x_n to obtain ciphertext string $z = z_1 ... z_n$ with the XORC scheme is defined by the following equation:

$$z_i = F_K (ctr+i) \oplus x_i, i = 1, ..., n,$$

where new counter value ctr + n is obtained after each message x encryption, n is the number of blocks of message x, and F_K is the block cipher F using key K. In this scheme,

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decryption of ciphertext string $z = z_1 \dots z_n$ to obtain plaintext string $x = x_1 \dots x_n$, is defined by the following equation:

$$x_i = F_K (ctr+i) \oplus z_i, i = 1, ..., n.$$

In contrast with the CBC, PCBC, and "infinite garble extension" schemes, in both the stateful XOR (XORC) scheme and stateless XOR (XOR\$) scheme, blocks x_i of plaintext x and blocks z_i of ciphertext z are not processed by F_K and F^{-1}_K . Nevertheless in these schemes, just as in all others, the message or data decryption operation is the inverse of the message or data encryption operation.

It is well-known in the art that only certain encryption schemes are secure with respect to confidentiality (secrecy) when chosen-plaintext attacks are launched by an adversary using a well-defined set of resources (viz., M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403). In such attacks, an adversary can obtain ciphertexts for a set of plaintexts of his/her own choice. Security with respect to confidentiality (secrecy) means that, after such an attack, the adversary cannot determine the plaintext of a never-seen-before ciphertext message (i.e., a ciphertext message not obtained during the attack) with more than negligible probability. The notion of negligible probability in such attacks is also known to those skilled in the art (e.g., as defined by M. Naor and O. Reingold: "From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," in Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998). All schemes that are secure in this sense are called "confidentiality-secure against chosen-plaintext attacks," or simply, "confidentiality-secure," henceforth.

Variants of the CBC and XOR schemes are proved to be confidentiality-secure against chosen-plaintext attacks. For example, M. Bellare, A. Desai, E. Jokipii, and P. Rogaway, in "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403, demonstrate that the CBC and XOR schemes are secure in the left-or-right (or real-or-random) sense, which in turn implies that they are confidentiality-secure against chosen-plaintext attacks (viz., S. Goldwasser and M. Bellare: "Lecture Notes on Cryptography", 1999, available at http://www-cse.ucsd.edu/users/mihir/papers/gb.pdf). Similarly, those skilled in the art can easily show that other schemes, such as PCBC and "infinite garble"

extension" schemes, are also confidentiality-secure against chosen-plaintext attacks. However, not all schemes for the encryption of multi-block data or messages are confidentiality-secure against chosen-plaintext attacks. For example, it is well known in the art that the Electronic Codebook (ECB) mode of encryption (viz., NBS FIPS Pub 81, titled "DES Modes of Operation", National Bureau of Standards, U.S. Department of Commerce, December 1980) is not confidentiality-secure against chosen-plaintext attacks (viz., S. Goldwasser and M. Bellare: "Lecture Notes on Cryptography", 1999, available at http://www-cse.ucsd.edu/users/mihir/papers/gb.pdf).

It is also well known to those skilled in the art that encryption schemes which are confidentiality-secure against chosen-plaintext attacks do not, by themselves, preserve message integrity (authenticity). All encryption schemes known in the art to date typically use additional methods to provide for the integrity of encrypted multi-block data and messages. Several such methods have been surveyed by A.J. Menezes, P. van Oorschot, and S. Vanstone, in their book entitled "Handbook of Applied Cryptography," CRC Press, 1997. One of the known methods uses an additional cryptographic primitive besides the block cipher, namely a hash function, to provide integrity for encrypted messages. This method requires that the value obtained by applying the hash function to a plaintext be concatenated with the plaintext before encryption. Upon receipt of an encrypted message, the message is decrypted and accepted only after the integrity check is passed; i.e., the check passes if the value of the hash function when applied to the decrypted plaintext matches the hash value decrypted along with, and separated from, the decrypted plaintext. Encryption schemes that use two cryptographic primitives (e.g., block ciphers and hash functions) to provide both message confidentiality and integrity are embodied in commercial systems such as Kerberos V5 as described in RFC 1510, "The Kerberos network authentication service (V5)," Internet Request for Comments 1510, J. Kohl and B.C. Neuman, September 1993. Other known schemes for obtaining the integrity of encrypted multi-block data and messages can use only a single cryptographic primitive (i.e., a block cipher) but require two passes over the data or message; i.e., one pass for encryption with one secret key, and an additional pass for computing a Message Authentication Code (MAC) for the plaintext data or message with a separate secret key; or an additional pass for computing the MAC for the encrypted data or message with a separate secret key. Both the

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encrypted data or message and the corresponding MAC represent the output of these encryption schemes.

Encryption schemes that require two sequential passes over the data or message and use only one cryptographic primitive, and those that use two cryptographic primitives sequentially, to provide integrity of encrypted messages or data (1) decrease the performance of message and data encryption considerably, and (2) cannot be applied to real-time applications where commencing verification of message integrity cannot be deferred until the end of message decryption (viz., E. Petrank and C. Rackoff: "CBC MAC for Real-Time Data Sources," manuscript available at

http://www.cs.technion.ac.il/~erez/publications.html, 1999). Furthermore, schemes using one cryptographic primitive and two processing passes concurrently, and those using the two cryptographic primitives concurrently, can achieve high-performance for confidentiality and integrity but require substantial implementation complexity, cost, and additional power, and are less suitable for implementation in low-power applications, and low-power, low-cost hardware devices.

Past attempts to overcome these shortcomings in message or data integrity protection with traditional encryption schemes (e.g., CBC, PCBC) relied on noncryptographic Manipulation Detection Codes (MDCs), particularly on checksums, such as 32-bit Cyclic Redundancy Codes (CRC-32) (viz., RFC 1510, "The Kerberos network authentication service (V5)", Internet Request for Comments 1510, J. Kohl and B.C. Neuman, September 1993; R.R. Juneman, S.M. Mathias, and C.H. Meyer: "Message Authentication with Manipulation Detection Codes," Proc. of the IEEE Symp. on Security and Privacy, Oakland, CA., April 1983, pp. 33-54). However, all past attempts to protect the integrity of encrypted messages with non-cryptographic MDC functions failed. The reason for this is that non-cryptographic MDC functions cannot be used with traditional encryption schemes to detect integrity violations (e.g., forgeries) caused by chosen-plaintext attacks followed by verification of forged ciphertext messages by the adversary. These attacks are called the chosen-message attacks herein. In a successful chosen-message attack, an adversary is able to forge ciphertext messages that would be decrypted correctly with nonnegligible probability by an unsuspecting party. The adversary need not know, nor be able to predict, the plaintext produced by correct decryption of the forged ciphertext. An example of such a successful attack against CBC encryption when CBC is used with the

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CRC-32 -- one of the strongest non-cryptographic MDC in use -- in which the adversary can predict the plaintext of a forgery is provided by S.G. Stubblebine and V.D. Gligor in "On message integrity in cryptographic protocols," Proceedings of the 1992 IEEE Computer Society Symposium on Research in Security and Privacy, pp. 85-104, 1992.

Other block encryption schemes that are susceptible to chosen-message attacks when using the typical non-cryptographic MDCs include the PCBC scheme (viz., J. T. Kohl: "The use of encryption in Kerberos for network authentication", Advances in Cryptology-CRYPTO '89 (LNCS 435), pp. 35-43, 1990; and A.J. Menezes, P.C. van Oorschot, and S.A. Vanstone: "Handbook of Applied Cryptography", CRC Press, Boca Raton, 1997), the "infinite garble extension" scheme, and the XOR schemes.

Furthermore, encryption schemes that use non-cryptographic MDC functions have not generally offered the possibility of processing encryption and decryption operations in a parallel or pipelined fashion, which has limited their applicability to sequential processing.

SUMMARY OF THE INVENTION

The inventors have recognized, and it is an aspect of this invention, that it is highly advantageous to provide encryption schemes that several or all of the following aspects (1) require only one processing pass over the data or message with only one cryptographic primitive (i.e., the block cipher), (2) withstand chosen-message attacks, (3) can be used for high-performance and low-power applications, and low-power, low-cost hardware devices, (4) are suitable for real-time applications, and (5) can be used in parallel or pipelined fashion in addition to that of the standard sequential processing.

It has been recognized by the present inventors that prior-art block encryption schemes do not achieve both confidentiality and integrity in one single processing pass over the input data using a single cryptographic primitive. In the prior art, block encryption schemes that require two passes over the data (e.g., one for encryption and one for computing a MAC) and a single cryptographic primitive, or two cryptographic primitives (e.g., block cipher and hash function), to provide both confidentiality and integrity, result in decreased performance or demand additional power when compared to schemes using a single cryptographic primitive (i.e., the block cipher) in one pass over the data. Hence, prior-art block-encryption schemes are less suitable for use in high-performance, low-power applications, and low-power, low-cost hardware devices. Furthermore, these prior-art block

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encryption schemes cannot be used in most real-time applications for embedded systems where commencing integrity verification cannot be deferred until the completion of message decryption.

It has also been recognized by the present inventors that, despite their inadequacy in detecting integrity violations caused by chosen-message attacks when used with traditional encryption schemes (e.g., CBC, PCBC, "infinite garble extension," XOR), it is advantageous to develop new encryption schemes that use non-cryptographic Manipulation Detection Code functions to protect both data confidentiality and integrity because these functions add only a small overhead to the encryption and decryption operations. Among these non-cryptographic MDC functions, those that can be computed in a parallel or pipelined manner have been of particular interest, and henceforth we refer to them as the (non-cryptographic) high-performance Manipulation Detection Code (hpMDC) functions.

There remains a need for secure block encryption methods that provide data confidentiality and integrity with a single cryptographic primitive in a single processing pass over the data by using a non-cryptographic (high performance) Manipulation Detection Code function. There is a need for such block encryption methods that are applicable to real-time applications. There is a further need for such block encryption methods that are suitable for both software or hardware implementation, for high-performance, low-power applications. There is a yet further need for such block encryption methods that are suitable for low-power, low-cost hardware devices. There is a yet further need for such block encryption methods that allow encryption and decryption in sequential, parallel or pipelined manners.

Briefly, the present invention comprises, in a first embodiment, an encryption method for providing both data confidentiality and integrity for a message, comprising the steps of: receiving an input plaintext string comprising a message and padding it as necessary such that its length is a multiple of ℓ bits; partitioning the input plaintext string a length that is a multiple of ℓ bits into a plurality of equal-size blocks of ℓ bits in length; creating an MDC block of ℓ bits in length that includes the result of applying a non-cryptographic Manipulation Detection Code (MDC) function to the plurality of the equal-size blocks; making one and only one processing pass with a single cryptographic primitive over each of the equal-size blocks and the MDC block to create a plurality of hidden

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ciphertext blocks each of ℓ bits in length; and performing a randomization function over the plurality of hidden ciphertext blocks to create a plurality of output ciphertext blocks each of ℓ bits in length.

In a further aspect of the present invention, the making one and only one processing pass step comprises processing each of the equal-size blocks and the MDC block by an encryption scheme that is confidentiality-secure against chosen-plaintext attacks, wherein each of the equal-size blocks and the MDC block is processed by a block cipher using a first secret key to obtain the plurality of hidden ciphertext blocks; and wherein the performing a randomization function step comprises combining each of the hidden ciphertext blocks with a corresponding element of a sequence of unpredictable elements to create a set of output blocks of the ciphertext, wherein a hidden ciphertext block identified by an index i is combined with the element of the sequence identified by index i by an operation that has an inverse.

In a further aspect of the present invention, the creating an MDC block step comprises: applying the non-cryptographic MDC function to the partitioned plaintext blocks; and combining the result with a secret, *l*-bit random vector generated on a permessage basis to obtain the MDC block.

In a further aspect of the present invention, the combining step comprises performing the combination using an bit-wise exclusive-or function.

In a further aspect of the present invention, there is provided the step of generating the secret random vector from a secret random number generated on a per-message basis.

In a further aspect of the present invention, there is provided the step of appending the created MDC block after a last block of the set of equal-sized blocks comprising the padded plaintext string.

In a further aspect of the present invention, the encryption scheme is cipher block chaining CBC; and further comprising the step of representing an initialization vector for the CBC as the secret random vector.

In a further aspect of the present invention, the hidden ciphertext blocks from the processing step comprise n + 1 hidden ciphertext blocks each of ℓ -bit length, where n is the total number of blocks in the set of equal-sized blocks of the padded input plaintext string.

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In a further aspect of the present invention, there is provided the step of generating each of a plurality of the unpredictable elements of the sequence of unpredictable elements by combining a different element identifier for each of the unpredictable elements and a secret random number.

In a further aspect of the present invention, there is provided the step of generating each of a plurality of the unpredictable elements of the sequence of unpredictable elements by combining a different element identifier for each of the unpredictable elements and the secret random number.

In a further aspect of the present invention, there is provided the steps of: enciphering the secret random number using the block cipher using the secret first key; and including this enciphered secret random number as one of the output ciphertext blocks.

In a further aspect of the present invention, the secret random vector is generated by enciphering a secret random number of ℓ bits in length, the enciphering using the block cipher using a secret second key.

In a further aspect of the present invention, the secret random vector is generated by enciphering a variant of the secret random number of ℓ bits in length, the enciphering using the block cipher using the secret first key.

In a further aspect of the present invention, the variant of the secret random number is obtained by adding a constant to the secret random number.

In a further aspect of the present invention, the secret random number is provided by a random number generator.

In a further aspect of the present invention, there are provided the steps of: generating the secret random number by enciphering a count of a counter initialized to a constant, the enciphering being performed with the block cipher using the secret first key; and incrementing the counter by one on every message encryption.

In a further aspect of the present invention, the counter is initialized to a constant whose value is the *l*-bit representation of negative one.

In a further aspect of the present invention, there is provided the step of initializing the counter to a secret value of ℓ bits in length.

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In a further aspect of the present invention, there is provided the step of outputting the counter value as an output block of the encryption scheme.

In a further aspect of the present invention, there is provided the step of sharing the secret random number between a sender and a receiver.

In a further aspect of the present invention, the non-cryptographic MDC function is a bit-wise exclusive-or function.

In a further aspect of the present invention, the encryption scheme is the CBC scheme of encryption.

In a further aspect of the present invention, the operation that has an inverse is the addition modulo 2^{ℓ} .

In a further aspect of the present invention, the operation that has an inverse is a bitwise exclusive-or operation.

In a further aspect of the present invention, the operation that has an inverse is the subtraction modulo 2^{ℓ} operation.

In a further aspect of the present invention, there are provided the steps of: generating the secret random vector from a secret random number of ℓ -bit length; and generating each element in the sequence of unpredictable elements by modular 2^{ℓ} multiplication of a different unique element identifier (i) for each element in the sequence of unpredictable elements and the secret random number.

In a further aspect of the present invention, there are provided the steps of generating the secret random vector from a secret random number of ℓ -bit length; and generating each element in the sequence of unpredictable elements from the previous element by modular 2^{ℓ} addition of the secret random number to the previous element, with a first element of the sequence being the secret random number itself.

In a yet further embodiment of the present invention, there is provided a decryption method that is the inverse of an encryption method which provides both data confidentiality and integrity, comprising the steps of: presenting a string including ciphertext string for

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decryption; partitioning the ciphertext string into a plurality of ciphertext blocks comprising ℓ bits each; selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block and performing a reverse randomization function on each of the selected n+1 ciphertext blocks to obtain a plurality of hidden ciphertext blocks each of ℓ bits in length; making one and only one processing pass with a single cryptographic primitive that is the inverse of an encryption single cryptographic primitive over the plurality of hidden ciphertext blocks to obtain a plurality of plaintext blocks comprising ℓ bits each; verifying integrity of the plaintext blocks using a non-cryptographic Manipulation Detection Code (MDC) function; outputting the plurality of plaintext blocks as an accurate plaintext string if the integrity verification passes; and outputting a failure indicator if the integrity verification fails.

In a further aspect of the present invention, the performing the reverse randomization function comprises: deriving a secret random number from the ciphertext string presented for decryption; generating a sequence of unpredictable elements each of \(\ell \)bit length from the secret random number in a same manner as used at the encryption method; selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block in a same order as that used at the encryption method, and combining the selected ciphertext blocks with the sequence of unpredictable elements to obtain a plurality of hidden ciphertext blocks, such that each of the n+1 ciphertext blocks identified by index i is combined with the element of the sequence of unpredictable elements identified by index i, by the inverse of an operation used at the encryption method: wherein the step of making one and only one processing pass comprises decrypting the plurality of hidden ciphertext blocks with the inverse of the block cipher used at an encryption method with a first secret key (K), the result of the decryption being a plurality of n decrypted plaintext data blocks and one decrypted MDC block each of *l*-bit length; and wherein the verifying integrity step comprises creating an MDC decryption block by applying the non-cryptographic Manipulation Detection Code function to the n decrypted plaintext data blocks and combining the result with a secret, *l*-bit random vector, the combining operation being the same as a combining operation at the encryption method, and the secret random vector being derived from the secret random number in the same manner as at the encryption method; and comparing the created MDC decryption block with the decrypted MDC block.

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In a further aspect of the present invention, there are provided the steps of selecting the ciphertext block of a secret random number from the string presented for decryption; and deciphering the selected ciphertext block to obtain the secret random number.

In a further aspect of the present invention, the deciphering step comprises performing the deciphering with the inverse of the block cipher using the secret first key.

In a further aspect of the present invention, there are provided the steps of: for the encryption method, generating a secret random number by enciphering a count of a counter initialized to a constant, the enciphering being performed with the block cipher using the secret first key; and incrementing the counter by one on every message encryption; and further comprising for decrypting the ciphertext blocks of the partitioned ciphertext string the steps of: selecting a counter block representing the count of the counter from the string presented at decryption; and enciphering the selected counter block to obtain the secret random number.

In a further aspect of the present invention, the enciphering step comprises performing the enciphering with the block cipher using the secret first key.

In a further aspect of the present invention, the string presented for decryption is obtained by applying the encryption method that provides both data confidentiality and integrity to an input plaintext string, and further comprising the step of outputting the input plaintext string.

In a further embodiment of the present invention, there is provided a method for parallel encryption processing of a message comprising the steps of: partitioning the input plaintext string into a plurality of input plaintext segments; concurrently presenting each different one of the plurality of input plaintext segments to a different one of a plurality of encryption processors, each of the different processors using a different ℓ -bit secret random number per segment to obtain a ciphertext segment using an encryption method providing both data confidentiality and integrity with a single processing pass over the input plaintext segment and a single cryptographic primitive, and using a non-cryptographic Manipulation Detection Code function, wherein the single cryptographic primitive is a ℓ -bit block cipher using a secret first key; assembling the plurality of ciphertext segments into a ciphertext string; and outputting the ciphertext string.

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In a further aspect of the present invention, the assembling step comprises including in the ciphertext string the number of ciphertext segments, a ciphertext segment index, a length of each ciphertext segment and a sequence of ciphertext segments.

In a further aspect of the present invention, the step is provided of generating the different ℓ -bit secret random number per segment from a secret random number of ℓ bits in length.

In a further aspect of the present invention, the step is provided of generating the different secret random number per segment from the secret random number of ℓ bits by adding modulo 2^{ℓ} a plaintext segment sequence index for that segment to the secret random number.

In a further aspect of the present invention, the steps are provided of: generating the secret random number of ℓ bits in length by a random number generator; enciphering the secret random number with the block cipher using a first key; and including the enciphered secret random number as an output block of the output ciphertext string.

In a further aspect of the present invention, the steps are provided of: generating the secret random number of ℓ bits in length by enciphering a counter initialized to a constant, the enciphering being done with the block cipher using the first key; and outputting the counter value as an output block of the output ciphertext string; and incrementing after every different message encryption the counter by a number equal to a number of plaintext segments in the message.

In a further embodiment of the present invention, a method is provided for parallel decryption processing of a message comprising the steps of: presenting a string including the ciphertext string of a message for decryption; partitioning the ciphertext string into a plurality of ciphertext segments; concurrently presenting the plurality of ciphertext segments to a plurality of processors; obtaining a different secret random number per ciphertext segment from a secret random number in the same manner as at a parallel encryption method; decrypting each ciphertext segment using the different secret random number per ciphertext segment to obtain a plaintext segment, using a decryption method that is the inverse of an encryption method used in the parallel encryption method that provides both data confidentiality and integrity with a single processing pass over the input

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plaintext segment and a single cryptographic primitive, wherein the single cryptographic primitive is a *l*-bit block cipher using a secret first key, and using a non-cryptographic Manipulation Detection Code function for verifying integrity of the plaintext blocks of each plaintext segment; assembling the plurality of plaintext segments into a plaintext string; and verifying the integrity of the plaintext segments and their sequence and outputting the plaintext string if the integrity verification passes.

In a further aspect of the present invention, the step is provided of outputting a failure indicator if the integrity verification fails for at least one segment.

In a further aspect of the present invention, the steps are provided of: selecting a ciphertext block of the secret random number from the string presented for decryption; and deciphering the selected ciphertext block to obtain the secret random number.

In a further aspect of the present invention, the step is provided of performing the deciphering step with the inverse of a block cipher using a secret first key, the block cipher and the secret first key being the same as to those used at the message encryption method using the plurality of processors.

In a further aspect of the present invention, the steps are provided: for the parallel encryption method, generating the secret random number of ℓ bits in length by enciphering a counter initialized to a constant, the enciphering being done with the block cipher using the first key; incrementing after every different message encryption the counter by a number equal to a number of plaintext segments in the message; and further comprising for decryption of the ciphertext segments of the partitioned ciphertext string the steps of: selecting a counter block holding the count of the counter from the string presented for decryption; and enciphering the selected counter block to obtain the secret random number.

In a further aspect of the present invention, the enciphering the counter block step comprises enciphering with the block cipher using the same key as that used for encryption using a plurality of processors.

In a further embodiment of the present invention, an encryption program product is provided for providing both data confidentiality and integrity for a message, comprising: first code for receiving an input plaintext string comprising a message and padding it as necessary such that its length is a multiple of ℓ bits; second code for partitioning the padded

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input plaintext string into a plurality of equal-size blocks of ℓ bits in length; third code for creating an MDC block of ℓ bits in length that includes the result of applying a non-cryptographic Manipulation Detection Code (MDC) function to the plurality of the equal-size blocks; fourth code for making one and only one processing pass with a single cryptographic primitive over each of the equal-size blocks and the MDC block to create a plurality of hidden ciphertext blocks each of ℓ bits in length; and fifth code for performing a randomization function over the plurality of hidden ciphertext blocks to create a plurality of output ciphertext blocks each of ℓ bits in length.

In a further aspect of the present invention, the fourth code for making one and only one processing pass step comprises code for processing each of the equal-size blocks and the MDC block by an encryption scheme that is confidentiality-secure against chosen-plaintext attacks, wherein each of the equal-size blocks and the MDC block is processed by a block cipher using a first secret key (K) to obtain the plurality of hidden ciphertext blocks; and wherein the fifth code for performing a randomization function comprises code for combining each of the hidden ciphertext blocks with a corresponding element of a sequence of unpredictable elements to create a set of output blocks of the ciphertext, wherein a hidden ciphertext block identified by an index i is combined with the element of the sequence identified by index i by an operation that has an inverse.

In a further aspect of the present invention, the third code for creating an MDC block step comprises: code for applying the non-cryptographic MDC function to the partitioned plaintext blocks; and code for combining the result with a secret, \ell-bit random vector generated on a per-message basis to obtain the MDC block.

In a further embodiment of the present invention, a decryption program product is provided that is the inverse of the encryption program product which provides both data confidentiality and integrity, comprising: first code for presenting a string including ciphertext string for decryption; second code for partitioning the ciphertext string into a plurality of ciphertext blocks comprising ℓ bits each; third code for selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block and performing a reverse randomization function on each of the selected n+1 ciphertext blocks to obtain a plurality of hidden ciphertext blocks each of ℓ bits in length; fourth code for making one and only one processing pass with a single cryptographic

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primitive that is the inverse of an encryption single cryptographic primitive over the plurality of hidden ciphertext block to obtain a plurality of plaintext blocks comprising ℓ bits each; fifth code for verifying integrity of the plaintext blocks using a non-cryptographic Manipulation Detection Code (MDC) function; sixth code for outputting the plurality of plaintext blocks as an accurate plaintext string if the integrity verification passes; and seventh code for outputting a failure indicator if the integrity verification fails.

In a further aspect of the present invention, the third code for performing the reverse randomization function comprises: code for deriving a secret random number from the ciphertext string presented for decryption; code for generating a sequence of unpredictable elements each of ℓ -bit length from the secret random number in the same manner as used at an encryption program product; code for selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block in the same order as that used at an encryption program product, and combining the selected ciphertext blocks with the sequence of unpredictable elements to obtain a plurality of hidden ciphertext blocks (z_i), such that each of the n+1 ciphertext blocks identified by index i is combined with the element of the sequence of unpredictable elements identified by index i, by the inverse of the operation used at the encryption program product; wherein the fourth code for making one and only one processing pass comprises code for decrypting the plurality of hidden ciphertext blocks with the inverse of the block cipher used at an encryption program product with a first secret key (K), the result of the decryption being a plurality of n decrypted plaintext data blocks and one decrypted MDC block each of \ell-bit length; and wherein the fifth code for verifying integrity step comprises code for creating an MDC decryption block by applying the non-cryptographic Manipulation Detection Code function to the n decrypted plaintext data blocks and combining the result with a secret, *l*-bit random vector, the combining operation being the same as the combining operation at the encryption program product, and the secret random vector being derived from the secret random number in the same manner as at the encryption program product; and comparing the created MDC decryption block with the decrypted MDC block.

In a further embodiment of the present invention, an encryption system is disclosed for providing both data confidentiality and integrity for a message, comprising: a first component for receiving an input plaintext string comprising a message and padding it as necessary such that its length is a multiple of ℓ bits; a second component for partitioning the

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padded input plaintext string into a plurality of equal-size blocks of ℓ bits in length; a third component for creating an MDC block of ℓ bits in length that includes the result of applying a non-cryptographic Manipulation Detection Code (MDC) function to the plurality of the equal-size blocks; a fourth component for making one and only one processing pass with a single cryptographic primitive over each of the equal-size blocks and the MDC block to create a plurality of hidden ciphertext blocks each of ℓ bits in length; and a fifth component for performing a randomization function over the plurality of hidden ciphertext blocks to create a plurality of output ciphertext blocks each of ℓ bits in length.

In a further aspect of the present invention, the fourth component for making one and only one processing pass step comprises a component for processing each of the equal-size blocks and the MDC block by an encryption scheme that is confidentiality-secure against chosen-plaintext attacks, wherein each of the equal-size blocks and the MDC block is processed by a block cipher using a first secret key to obtain the plurality of hidden ciphertext blocks; and wherein the fifth component for performing a randomization function comprises a component for combining each of the hidden ciphertext blocks with a corresponding element of a sequence of unpredictable elements to create a set of output blocks of the ciphertext, wherein a hidden ciphertext block identified by an index i is combined with the element of the sequence identified by index i by an operation that has an inverse.

In a further aspect of the present invention, the third component for creating an MDC block step comprises: a component for applying the non-cryptographic MDC function to the partitioned plaintext blocks; and a component for combining the result with a secret, *l*-bit random vector generated on a per-message basis to obtain the MDC block.

In a further embodiment of the present invention, a decryption system is provided that is the inverse of an encryption system which provides both data confidentiality and integrity, comprising: a first component for presenting a string including ciphertext string for decryption; a second component for partitioning the ciphertext string into a plurality of ciphertext blocks comprising ℓ bits each; a third component for selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block and performing a reverse randomization function on each of the selected n+1 ciphertext blocks to obtain a plurality of hidden ciphertext blocks each of ℓ bits in length; a

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fourth component for making one and only one processing pass with a single cryptographic primitive that is the inverse of an encryption single cryptographic primitive over the plurality of hidden ciphertext block to obtain a plurality of plaintext blocks comprising ℓ bits each; a fifth component for verifying integrity of the plaintext blocks using a non-cryptographic Manipulation Detection Code (MDC) function; and a sixth component for outputting the plurality of plaintext blocks as an accurate plaintext string if the integrity verification passes; and a seventh component for outputting a failure indicator if the integrity verification fails.

In a further aspect of the present invention, the third component for performing the reverse randomization function comprises: a component for deriving a secret random number from the ciphertext string presented for decryption; a component for generating a sequence of unpredictable elements each of *l*-bit length from the secret random number in the same manner as used at an encryption system; a component for selecting n+1 ciphertext blocks from the plurality of ciphertext blocks representing n data blocks and one MDC block in the same order as that used at an encryption system, and combining the selected ciphertext blocks with the sequence of unpredictable elements to obtain a plurality of hidden ciphertext blocks, such that each of the n+1 ciphertext blocks identified by index i is combined with the element of the sequence of unpredictable elements identified by index i, by the inverse of the operation used at the encryption system; wherein the fourth component for making one and only one processing pass comprises a component for decrypting the plurality of hidden ciphertext blocks with the inverse of the block cipher used at an encryption system with a first secret key (K), the result of the decryption being a plurality of n decrypted plaintext data blocks and one decrypted MDC block each of *l*-bit length; and wherein the fifth component for verifying integrity step comprises a component for creating an MDC decryption block by applying the non-cryptographic Manipulation Detection Code function to the n decrypted plaintext data blocks and combining the result with a secret, *l*-bit random vector, the combining operation being the same as the combining operation at the encryption system, and the secret random vector being derived from the secret random number in the same manner as at the encryption system; and comparing the created MDC decryption block with the decrypted MDC block.

In a further embodiment of the present invention, a program product is provided for parallel encryption processing of a message comprising: first code for partitioning the input

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plaintext string into a plurality of input plaintext segments; second code for concurrently presenting each different one of the plurality of input plaintext segments to a different one of a plurality of encryption processors, each of the different processors using a different ℓ -bit secret random number per segment to obtain a ciphertext segment using an encryption code providing both data confidentiality and integrity with a single processing pass over the input plaintext segment and a single cryptographic primitive, and using a non-cryptographic Manipulation Detection Code function, wherein the single cryptographic primitive is a ℓ -bit block cipher using a secret first key; third code for assembling the plurality of ciphertext segments into a ciphertext string; and fourth code for outputting the ciphertext string.

In a further aspect of the present invention, the third code for assembling comprises code for including in the ciphertext string the number of ciphertext segments, a ciphertext segment index, a length of each ciphertext segment and a sequence of ciphertext segments.

In a further embodiment of the present invention, a program product is provided for parallel decryption processing of a message comprising: first code for presenting a string including the ciphertext string of a message for decryption; second code for partitioning the ciphertext string into a plurality of ciphertext segments; third code for concurrently presenting the plurality of ciphertext segments to a plurality of processors; fourth code for obtaining a different secret random number per ciphertext segment from a secret random number in the same manner as at the parallel encryption program product; fifth code for decrypting each ciphertext segment using the different secret random number per ciphertext segment to obtain a plaintext segment, using a decryption method that is the inverse of an encryption method used in the parallel encryption method that provides both data confidentiality and integrity with a single processing pass over the input plaintext segment and a single cryptographic primitive, wherein the single cryptographic primitive is a *l*-bit block cipher using a secret first key, and using a non-cryptographic Manipulation Detection Code function for verifying integrity of the plaintext blocks of each plaintext segment; sixth code for assembling the plurality of plaintext segments into a plaintext string; and seventh code for verifying the integrity of the plaintext segments and their sequence and outputting the plaintext string if the integrity verification passes.

In a further aspect of the present invention, there is provided code for outputting a failure indicator if the integrity verification fails for at least one segment.

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In a yet further embodiment of the present invention, a system for parallel encryption processing of a message is provided comprising: a first component for partitioning the input plaintext string into a plurality of input plaintext segments; a second component for concurrently presenting each different one of the plurality of input plaintext segments to a different one of a plurality of encryption processors, each of the different processors using a different ℓ -bit secret random number per segment to obtain a ciphertext segment using an encryption component providing both data confidentiality and integrity with a single processing pass over the input plaintext segment and a single cryptographic primitive, and using a non-cryptographic Manipulation Detection Code function, wherein the single cryptographic primitive is a ℓ -bit block cipher using a secret first key; a third component for assembling the plurality of ciphertext segments into a ciphertext string; and a fourth component for outputting the ciphertext string.

In a further aspect of the present invention, the third component for assembling comprises a component for including in the ciphertext string the number of ciphertext segments, a ciphertext segment index, a length of each ciphertext segment and a sequence of ciphertext segments.

In a yet further embodiment of the present invention, a system for parallel decryption processing of a message is provided comprising: a first component for presenting a string including the ciphertext string of a message for decryption; a second component for partitioning the ciphertext string into a plurality of ciphertext segments; a third component for concurrently presenting the plurality of ciphertext segments to a plurality of processors; a fourth component for obtaining a different secret random number per ciphertext segment from a secret random number in the same manner as at the parallel encryption system; a fifth component for decrypting each ciphertext segment using the different secret random number per ciphertext segment to obtain a plaintext segment, using a decryption method that performs the inverse operation of an encryption method used in the parallel encryption method that provides both data confidentiality and integrity with a single processing pass over the input plaintext segment and a single cryptographic primitive, wherein the single cryptographic primitive is a *l*-bit block cipher using a secret first key, and using a non-cryptographic Manipulation Detection Code function for verifying integrity of the plaintext blocks of each plaintext segment; a sixth component for assembling the plurality of plaintext segments into a plaintext string; and a seventh component for verifying

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the integrity of the plaintext segments and their sequence and outputting the plaintext string if the integrity verification passes.

In a further aspect of the present invention, there is provided a component for outputting a failure indicator if the integrity verification fails for at least one segment.

BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention and the advantages thereof, reference should be made to the following Detailed Description taken in connection with the accompanying drawings, in which:

Figure 1 illustrates a schematic diagram of the method of the present invention for the encryption of input plaintext string $x = x_1 x_2 x_3 x_4$ using keys K and K' to obtain output ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$.

Figure 2 illustrates a schematic diagram of the method of the present invention for the decryption of the input ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$ using keys K and K' to obtain the output plaintext string $x = x_1 x_2 x_3 x_4$ or the error indicator.

Figure 3 illustrates a schematic diagram of the method of the present invention for the encryption of input plaintext string $x = x_1 x_2 x_3 x_4$ using only one key K to obtain output ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$.

Figure 4 illustrates a schematic diagram of the method of the present invention for the decryption of the input ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$ using only one key K to obtain the output plaintext string $x = x_1 x_2 x_3 x_4$ or the error indicator.

Figure 5 illustrates a schematic diagram for the encryption using cipher-block chaining (CBC) of an input plaintext string $x = x_1 x_2 x_3 x_4$ to obtain output ciphertext $z = z_1 z_2 z_3 z_4$.

Figure 6 illustrates a schematic diagram for the decryption using cipher-block chaining (CBC) of an input ciphertext string ciphertext $z = z_1 z_2 z_3 z_4$ to obtain output plaintext string $x = x_1 x_2 x_3 x_4$.

Figure 7 illustrates a schematic diagram for the preferred embodiment of this invention of the stateless encryption scheme in which input plaintext string $x = x_1 x_2 x_3 x_4$ is

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encrypted using keys K and K' to obtain output ciphertext $y = y_0 y_1 y_2 y_3 y_4 y_5$ based on cipher-block chaining (CBC).

Figure 8 illustrates a schematic diagram for the preferred embodiment of this invention of the stateless decryption scheme in which input ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$ is decrypted to obtain output plaintext string $x = x_1 x_2 x_3 x_4$ or the error indicator.

Figure 9 illustrates a schematic diagram for the preferred embodiment of this invention of the stateful encryption scheme in which input plaintext $x = x_1 x_2 x_3 x_4$ is encrypted using keys K and K' to obtain output ciphertext string $y = y_1 y_2 y_3 y_4 y_5$ based on cipher-block chaining (CBC).

Figure 10 illustrates a schematic diagram for the preferred embodiment of this invention of the stateful decryption scheme in which input ciphertext string $y = y_1 y_2 y_3 y_4 y_5$ is decrypted to obtain the output plaintext string plaintext $x = x_1 x_2 x_3 x_4$ or the error indicator.

Figure 11 illustrates a schematic diagram for an alternate embodiment of this invention of the stateful encryption scheme in which input plaintext string plaintext $x = x_1$ x_2 x_3 x_4 is encrypted using keys K and K' to obtain output ciphertext $y = y_0$ y_1 y_2 y_3 y_4 y_5 based on cipher-block chaining (CBC).

Figure 12 illustrates a schematic diagram for the alternate embodiment of this invention of the stateful decryption scheme in which input ciphertext string $y = y_0 y_1 y_2 y_3 y_4 y_5$ is decrypted to obtain output plaintext string $x = x_1 x_2 x_3 x_4$ or the error indicator.

Figure 13 illustrates a schematic diagram for the preferred embodiment of the 3-processor stateful parallel encryption scheme in which input plaintext string $x = x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10} x_{11} x_{12}$ is encrypted using keys K and K' to obtain output ciphertext string $y = y_1 y_2 y_3 y_4 y_5' y_5 y_6 y_7 y_8 y_9' y_{10} y_{11} y_{12} y_{13}'$.

Figure 14 illustrates a schematic diagram for the preferred embodiment of the 3-processor stateful parallel decryption scheme in which input ciphertext string $y = y_1 y_2 y_3 y_4 y_5 y_5 y_6 y_7 y_8 y_9 y_{10} y_{11} y_{12} y_{13}$ is decrypted to obtain output plaintext $x = x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10} x_{11} x_{12}$ or the error indicator.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to Figure 1, a plaintext string x 23 representing the input data is presented to the encryption scheme providing data confidentiality and integrity 50 resulting in an output ciphertext string y 24. It is assumed that the sender and the receiver share a pair of secret keys K and K' (i.e., a first key K 31, and a second key K' 32) and that a random-number generator 73 is available. Keys K and K' have the same length k and can be derived from a master key using key separation techniques well-known in the art. The input plaintext string x 23 is padded in some standard fashion so that it is a multiple of ℓ bits. The padding is not shown in Figure 1, as it is commonly known in the data processing art. It is assumed that the plaintext string x 23 is composed of n ℓ -bit plaintext blocks 21. Figure 1 shows an example plaintext string 23 composed of n = 4 blocks, $x = x_1 x_2 x_3 x_4$.

In the description to follow, F is an ℓ -bit block cipher with key length k, F_K 70 is the ℓ -bit block cipher F using secret key K 31, and $F_{K'}$ 71 is the ℓ -bit block cipher F using secret key K' 32. $F_K(b)$ is an ℓ -bit block representing the enciphering of the ℓ -bit block b by F_K . Similarly, $F_{K'}(b)$ is an ℓ -bit block representing the enciphering of the ℓ -bit block b by $F_{K'}$.

The random-number generator 73 outputs a secret random number r_0 80 of ℓ bits in length that is further enciphered by F_K 70, the block cipher F using the first key K 31, to obtain the block y_0 25. In an alternate embodiment, the secret random number r_0 80 is shared between the sender and the receiver, and hence it need not be generated by a random-number generator 73, and it need not be enciphered to obtain output block y_0 25. In the alternate embodiment the sender and the receiver generate the same shared secret random number r_0 80 from an already shared secret key using key separation techniques well-known in the art. The secret random number r_0 80 is also enciphered using $F_{K'}$ 71, the block cipher F using the second key K' 32, to obtain a secret random vector z_0 81 of ℓ bits in length.

The input plaintext blocks 21 are combined using a non-cryptographic Manipulation Detection Code (MDC) function yielding the result MDC(x). Examples of the result MDC(x) are provided below. The result MDC(x) of the application of the MDC function is further combined with the secret random vector z_0 81 resulting in the block value MDC(x) \oplus z_0 62. Herein, the non-cryptographic MDC function is a high-performance MDC function. In the preferred embodiment of this invention, the non-cryptographic MDC is a

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bit-wise exclusive-or function; in the example of Figure 1 in which the input plaintext string 23 is $x = x_1 x_2 x_3 x_4$, MDC(x) = $x_1 \oplus x_2 \oplus x_3 \oplus x_4$. In an alternate embodiment of this invention, the non-cryptographic MDC function uses addition modulo $2^{\ell} - 1$; i.e., for the example of Figure 1 in which the input plaintext string is $x = x_1 x_2 x_3 x_4$, MDC(x) = $x_1 + x_2 + x_3 + x_4$ (modulo $2^{\ell} - 1$). In yet another alternate embodiment of this invention, the non-cryptographic MDC function is any other parity checking code such as a cyclic redundancy code function. In the preferred embodiment of this invention, the combination operation between MDC(x) and the secret random vector z_0 81 is the bit-wise exclusive-or operation; i.e. the resulting value 62 is MDC(x) $\oplus z_0$. In an alternate embodiment of this invention, the combination operation between MDC(x) and the secret random vector z_0 81 is the addition modulo $2^{\ell} - 1$; i.e., the resulting value 62 is MDC(x) $+ z_0$ (modulo $2^{\ell} - 1$).

The plurality of input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 are submitted to a selected encryption scheme 60 that uses a block cipher F_K using the first key K 31. In an aspect of this invention, the selected encryption scheme 60 is confidentialitysecure. In a further aspect of this invention, the selected confidentiality-secure encryption scheme 60 has the property that the input plaintext blocks 21 and the block value MDC(x) ⊕ z₀ 62 are part of the input to F_K, the block cipher F using the first key K 31, used by the selected confidentiality-secure encryption scheme 60. In the preferred embodiment of this invention, the selected encryption scheme 60 is the cipher block chaining (CBC) mode (viz., NBS FIPS Pub 81, titled "DES Modes of Operation", National Bureau of Standards, U.S. Department of Commerce, December 1980). In an alternate embodiment of this invention, the selected encryption scheme 60 is the plaintext-cipher block chaining (PCBC) mode as described in A.J. Menezes, P.C. van Oorschot, and S.A. Vanstone: "Handbook of Applied Cryptography", CRC Press, Boca Raton, 1997), incorporated herein by reference. The invention, however, is not so limited, as other encryption schemes that are confidentiality secure and process the input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 through F_K, the block cipher F using key K, may also be used for the selected encryption scheme 60. The requirement that the input plaintext blocks 21 and the block value MDC(x) ⊕ z₀ 62 are processed through F_K, the block cipher F using key K, of the selected encryption scheme 60 eliminates the XOR\$ and XORC encryption schemes described in M. Bellare, A.

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Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, (394-403), as candidates for the selected encryption scheme 60.

The application of the selected encryption scheme 60 results into a plurality of hidden ciphertext blocks 90 of l-bit length; the number of hidden ciphertext blocks 90 is greater by one than the number of the input plaintext blocks 21; i.e. it is n+1. For the example of Figure 1, wherein n = 4, the plurality of hidden ciphertext blocks 90 comprises n+1=5 blocks z₁, z₂, z₃, z₄, z₅. These hidden ciphertext blocks 90 are submitted to a randomization step comprising, in one embodiment, applying a combination operation 92 to each hidden ciphertext block z_i 90 and each *l*-bit element E_i 91 of a sequence of n+1 elements. Each of these elements E_i 91 is unpredictable because it is obtained by combining the secret random number r₀ 80 and the element identifier i such that for any given *l*-bit constant a, the probability of the event $E_i = a$ is negligible, wherein the notion of negligible probability is well-known to those skilled in the art (viz., M. Naor and O. Reingold: "From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998; M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403). The fact that these elements E_i 91 are unpredictable means that enough of their \ell bits remain unknown so that the probability of the event $E_i = a$ is negligible. In the preferred embodiment of this invention, each unpredictable element E_i 91 is obtained by multiplication modulo 2^t of the element index i and the secret random number r₀ 80. In this preferred embodiment, when encryption is performed sequentially, each element of the sequence E_{i+1} (where $i \ge 1$) is generated from the previous element E_i by modular 2^{ℓ} addition of the secret random number r_0 , the first element of the sequence being the secret random number r_0 itself, namely $E_1 = r_0$. It should be appreciated by those skilled in the art, and is a further aspect of this invention, that the unpredictable elements 91 and the combination operation 92 can be obtained in other ways that do not depart from the spirit and scope of the present invention as set forth in the claims. In an alternate embodiment of this invention, the unpredictable elements E_i are the

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elements of the linear congruence sequence defined by $E_i = a^i \times r_0$, where a is called the multiplier and is chosen to pass all the necessary spectral tests, i is the element index, i = 1, ..., n+1, and r_0 is the secret random number 80, as described by D.E. Knuth in ``The Art of Computer Programming - Volume 2: Seminumerical Algorithms," Addison-Wesley, 1981 (second edition), Chapter 3, incorporated herein by reference.

The combination operation 92 is an operation that has an inverse. In the preferred embodiment of this invention, the combination operation 92 is the modular 2^{ℓ} addition, whereby each ciphertext block is obtained as $y_i = z_i + E_i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, the combination operation 92 is the bit-wise exclusive-or operation, whereby each ciphertext block $y_i = z_i \oplus E_i$. In yet another alternate embodiment of this invention, the combination operation 92 is modular 2^{ℓ} subtraction operation, whereby each ciphertext block $y_i = z_i - E_i$ modulo 2^{ℓ} . The invention, however, is not so limited, as other combination operations that have an inverse may also be used for operation 92.

The application of the combination operation 92 to the plurality of hidden ciphertext blocks 90 and the unpredictable elements 91 of the sequence results in a plurality of ciphertext blocks 22. Ciphertext block y_0 25 and the plurality of ciphertext blocks 22 form the ciphertext string y 24 that has n+2 blocks and is the output data of the encryption scheme 50. For the example presented in Figure 1, the ciphertext string 24 is $y = y_0 y_1 y_2 y_3 y_4 y_5$; i.e., has n+2=6 blocks.

Figure 2 represents the decryption of a ciphertext string y 24 composed of block y_0 25 and n+1 ciphertext blocks 22 to either a plaintext string x 23 composed of n plaintext blocks 21 or an error indicator 20 by the decryption scheme providing data confidentiality and integrity 51. Figure 2 shows an example wherein the ciphertext string y 24 is composed of block y_0 25 and n+1=5 ciphertext blocks 22; i.e., $y = y_0$ y_1 y_2 y_3 y_4 y_5 , and the plaintext string x 23 has n=4 blocks; i.e., $x = x_1$ x_2 x_3 x_4 . It is assumed that the sender shares the pair of secret keys K and K' (i.e., a first key K 31, and a second key K' 32) with the receiver of the data string y 24.

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 $F^{-1}{}_{K}$ 72 is the inverse of the ℓ -bit block cipher F using secret key K 31. $F^{-1}{}_{K}$ (d) is an ℓ -bit block representing the deciphering of the ℓ -bit block d by $F^{-1}{}_{K}$.

Block y_0 25 is first deciphered using F^{-1}_K 72, the inverse of the block cipher F using the secret first key K 31, resulting in the secret random number r_0 80. The secret random number r_0 80 is further enciphered using $F_{K'}$ 71, the block cipher F using second key K' 32, to obtain the secret random vector z_0 81.

The n+1 ciphertext blocks y_i 22 where $i \ge 1$ are submitted to the inverse combination operation 93 together with the unpredictable elements E_i 91, computed at decryption, resulting in n+1 hidden ciphertext blocks z_i 90. The unpredictable elements E_i 91 are computed exactly in the same way as at encryption (viz., Figure 1). The inverse combination operation 93 is the inverse of the combination operation 92. In the preferred embodiment of this invention, if the combination operation 92 is a modular 2^{ℓ} addition operation, then the inverse combination operation 93 is the modular 2^{ℓ} subtraction; i.e., each block $z_i = y_i - E_i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, if the combination operation 92 is the bit-wise exclusive-or operation, then the inverse combination operation 93 is the bit-wise exclusive-or operation; i.e., each block $z_i = y_i \oplus E_i$. In yet another alternate embodiment of this invention, if the combination operation 92 is modular 2^{ℓ} subtraction operation, then the inverse combination operation 93 is the modular 2^{ℓ} addition; i.e., each block $z_i = y_i + E_i$ modulo 2^{ℓ} .

The n+1 hidden ciphertext blocks z_i 90 are sent to the decryption function of the selected scheme 61 that uses F^{-1}_K , the inverse of the block cipher F using the first key K 31. The decryption of the selected scheme 61 outputs n plaintext blocks and one decrypted MDC block 63. For the example presented in Figure 2, the n=4 plaintext blocks are x_1 , x_2 , x_3 , x_4 and the decrypted MDC block 63 is x_5 . Further, the non-cryptographic MDC function is applied to the n plaintext blocks and the result of this application is further combined with the secret vector z_0 81 to yield the computed MDC block MDC(x) \oplus z_0 62. Then the computed MDC block MDC(x) \oplus z_0 62 and the decrypted MDC block 63 are compared for

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equality using the comparator 64. If the computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are not equal, then the result of the decryption of the data string y 24 is the error indicator 20. If the computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are equal, then the output from the logical "and" operators 65 is the result of the decryption of the ciphertext string y 24 using the decryption scheme 51; i.e., the result is the plaintext string x 23 comprising n plaintext blocks x_i 21. In the example presented in Figure 2, if computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are equal, then the output of the decryption scheme 51 is the plaintext string x = x₁ x₂ x₃ x₄.

Figure 3 illustrates a schematic diagram of the method of the present invention for the encryption of input plaintext string x 23 using only one key K 31 to obtain output ciphertext string y 24 using the encryption scheme providing data confidentiality and integrity 56. The input plaintext string x 23 is padded in some standard fashion so that it is a multiple of ℓ bits, and is partitioned into n ℓ -bit plaintext blocks 21. Figure 3 shows an example plaintext string 23 composed of n = 4 blocks, $x = x_1 x_2 x_3 x_4$.

The random-number generator 73 outputs a secret random number r_0 80 that is further enciphered by F_K 70, the block cipher F using key K 31, to obtain the block y_0 25. A variant $r_0 + c$ 85 of the secret random number r_0 80 is also enciphered using F_K 70, the block cipher F using the same key K 31, to obtain the secret random vector z_0 81. Figure 3 shows an example in which the variant of the secret random number 85 is obtained from the addition modulo 2^{ℓ} of the secret random number r_0 80 with a constant c. The invention, however, is not so limited, as other variants of the secret random number 85 may also be used as input to F_K 70, the block cipher F using key K 31, to obtain the secret random vector z_0 81.

The input plaintext blocks 21 are combined using a non-cryptographic Manipulation Detection Code (MDC) function yielding the result MDC(x); the result MDC(x) of the application of the MDC function is further combined with the secret random vector z_0 81 resulting in the block value MDC(x) \oplus z_0 62. Herein, the non-cryptographic MDC function is a high-performance MDC function. In the preferred embodiment of this invention, the non-cryptographic MDC is a bit-wise exclusive-or function; in the example of Figure 3 in which the input plaintext string 23 is $x = x_1 x_2 x_3 x_4$, MDC(x) $= x_1 \oplus x_2 \oplus x_3 \oplus x_4$. In an

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alternate embodiment of this invention, the non-cryptographic MDC function uses addition modulo $2^{\ell} - 1$; i.e., for the example of Figure 3 in which the input plaintext string is $x = x_1$ $x_2 x_3 x_4$, MDC(x) = $x_1 + x_2 + x_3 + x_4$ (modulo $2^{\ell} - 1$). In yet another alternate embodiment of this invention, the non-cryptographic MDC function is any other parity checking code such as a cyclic redundancy code function. In the preferred embodiment of this invention, the combination operation between MDC(x) and the secret random vector z_0 81 is the bit-wise exclusive-or operation; i.e. the resulting value 62 is MDC(x) \oplus z_0 . In an alternate embodiment of this invention, the combination operation between MDC(x) and the secret random vector z_0 81 is the addition modulo $2^{\ell} - 1$; i.e., the resulting value 62 is MDC(x) + z_0 (modulo z_0 1).

The plurality of input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 are submitted to the selected encryption scheme 60 that uses F_K, the block cipher F using the first key K 70. In an aspect of this invention, the selected encryption scheme 60 is confidentiality-secure. In a further aspect of this invention, the selected confidentialitysecure encryption scheme 60 has the property that the input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 are part of the input to F_K, the block cipher F using the first key K 31 used by the selected confidentiality-secure encryption scheme 60. In the preferred embodiment of this invention, the selected encryption scheme 60 is the cipher block chaining (CBC) mode (viz., NBS FIPS Pub 81, titled "DES Modes of Operation", National Bureau of Standards, U.S. Department of Commerce, December 1980). In an alternate embodiment of this invention, the selected encryption scheme 60 is the plaintext-cipher block chaining (PCBC) mode as described in A.J. Menezes, P.C. van Oorschot, and S.A. Vanstone: "Handbook of Applied Cryptography", CRC Press, Boca Raton, 1997), incorporated herein by reference. The invention, however, is not so limited, as other encryption schemes that are confidentiality secure and process the input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 through F_K, the block cipher F using key K, may also be used for the selected encryption scheme 60. The requirement that the input plaintext blocks 21 and the block value MDC(x) \oplus z₀ 62 are processed through F_K, the block cipher F using key K, of the selected encryption scheme 60 eliminates the XOR\$ and XORC

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encryption schemes described in M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: ``A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, (394-403), as candidates for the selected encryption scheme 60.

The application of the selected encryption scheme 60 results into a plurality of hidden ciphertext blocks 90 of l-bit length; the number of hidden ciphertext blocks 90 is greater by one than the number of the input plaintext blocks 21; i.e. it is n+1. For the example of Figure 3, wherein n = 4, the plurality of hidden ciphertext blocks 90 comprises n+1=5 blocks z₁, z₂, z₃, z₄, z₅. These hidden ciphertext blocks 90 are submitted to a randomization step comprising, by way of example, applying a combination operation 92 to each hidden ciphertext block zi 90 and each *l*-bit element Ei 91 of a sequence of n+1 elements. Each of these elements E_i 91 is unpredictable because it is obtained by combining the secret random number r₀ 80 and the element identifier i such that for any given *l*-bit constant a, the probability of the event E_i = a is negligible, wherein the notion of negligible probability is well-known to those skilled in the art (viz., M. Naor and O. Reingold: "From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998; M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403). In the preferred embodiment of this invention, each unpredictable element E_i 91 is obtained by multiplication modulo 2^t of the element index i and the secret random number r₀ 80. In this preferred embodiment, when encryption is performed sequentially, each element of the sequence E_{i+1} (where $i \ge 1$) is generated from the previous element E_i by modular 2^t addition of the secret random number r_0 , the first element of the sequence being the secret random number r_0 itself, namely E_1 r_0 . It should be appreciated by those skilled in the art, and is a further aspect of this invention, that the unpredictable elements 91 and the combination operation 92 can be obtained in other ways that do not depart from the spirit and scope of the present invention as set forth in the claims. In an alternate embodiment of this invention, the unpredictable elements E_i are the elements of the linear congruence sequence defined by $E_i = a^i \times r_0$,

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where a is called the multiplier and is chosen to pass all the necessary spectral tests, i is the element index, i = 1, ..., n+1, and r_0 is the secret random number 80, as described by D.E. Knuth in ``The Art of Computer Programming - Volume 2: Seminumerical Algorithms," Addison-Wesley, 1981 (second edition), Chapter 3, incorporated herein by reference.

The combination operation 92 is an operation that has an inverse. In the preferred embodiment of this invention, the combination operation 92 is the modular 2^{ℓ} addition, whereby each ciphertext block is obtained as $y_i = z_i + E_i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, the combination operation 92 is the bit-wise exclusive-or operation, whereby each ciphertext block $y_i = z_i \oplus E_i$. In yet another alternate embodiment of this invention, the combination operation 92 is modular 2^{ℓ} subtraction operation, whereby each ciphertext block $y_i = z_i - E_i$ modulo 2^{ℓ} . The invention, however, is not so limited, as other combination operations that have an inverse may also be used for operation 92.

The application of the combination operation 92 to the plurality of hidden chiphertext blocks 90 and the unpredictable elements 91 of the sequence results in a plurality of ciphertext blocks 22. Ciphertext block y_0 25 and the plurality of ciphertext blocks 22 form the ciphertext string y 24 that has n+2 blocks and is the output data of the encryption scheme 50. For the example presented in Figure 3, the ciphertext string 24 is $y = y_0 y_1 y_2 y_3 y_4 y_5$; i.e., has n+2=6 blocks.

Figure 4 illustrates a schematic diagram of the method of the present invention for the decryption of the input ciphertext string y 24 using only one key K 31 to obtain either the output plaintext string x 23 or the error indicator 20 by the decryption scheme providing data confidentiality and integrity 57.

Block y_0 25 is first deciphered using F^{-1}_K 72, the inverse of the block cipher F using key K 31, resulting in the secret random number r_0 80. The same variant of the secret random number r_0 +c 85 as used at encryption is enciphered using F_K 70, the block cipher F using the same key K 31, to obtain the secret random vector z_0 81.

The n+1 ciphertext blocks y_i 22 where $i \ge 1$ are submitted to the inverse combination operation 93 together with the unpredictable elements E_i 91, computed at decryption,

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resulting in n+1 hidden ciphertext blocks z_i 90. The unpredictable elements E_i 91 are computed exactly in the same way as at encryption (viz., Figure 3). The inverse combination operation 93 is the inverse of the combination operation 92. In the preferred embodiment of this invention, if the combination operation 92 is a modular 2^{ℓ} addition

operation, then the inverse combination operation 93 is the modular 2^{ℓ} subtraction; i.e., each block $z_i = y_i - E_i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, if the combination operation 92 is the bit-wise exclusive-or operation, then the inverse combination operation 93 is the bit-wise exclusive-or operation; i.e., each block $z_i = y_i \oplus E_i$. In yet another alternate embodiment of this invention, if the combination operation 92 is modular 2^{ℓ} subtraction operation, then the inverse combination operation 93 is the modular 2^{ℓ} addition; i.e., each block $z_i = y_i + E_i$ modulo 2^{ℓ} .

The n+1 hidden ciphertext blocks z_i 90 are sent to the decryption function of the selected scheme 61 that uses F¹_K, the inverse of the block cipher F using the first key K 31. The decryption of the selected scheme 61 outputs n plaintext blocks and one decrypted MDC block 63. For the example presented in Figure 4, the n=4 plaintext blocks are x_1 , x_2 , x₃, x₄ and the decrypted MDC block 63 is x₅. Further, the non-cryptographic MDC function is applied to the n plaintext blocks and the result of this application is further combined with the secret vector z_0 81 to yield the computed MDC block MDC(x) \oplus z_0 62. Then the computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are compared for equality using the comparator 64. If the computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are not equal, then the result of the decryption of the data string y 24 is the error indicator 20. If the computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are equal, then the output from the logical "and" operators 65 is the result of the decryption of the ciphertext string y 24 using the decryption scheme 51; i.e., the result is the plaintext string x 23 composed of n plaintext blocks x_i. In the example presented in Figure 4, if computed MDC block MDC(x) \oplus z₀ 62 and the decrypted MDC block 63 are equal, then the output of the decryption scheme 51 is the plaintext string $x = x_1 x_2 x_3 x_4$.

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Figure 5 illustrates a schematic diagram for encryption using as the selected encryption scheme the cipher-block chaining (CBC) mode, which is well known to those skilled in the art. Plaintext string x 23 is encrypted into ciphertext string z 94 using a key K 31 and an initialization vector IV 81. The input plaintext string x 23 is padded in some standard fashion so that it is a multiple of ℓ bits. This multiple is n, and thus, plaintext string x 23 is composed of n plaintext blocks 21. Cipher-block chaining (CBC) comprises n steps of enciphering a combination of the current plaintext block x_i 21 with the previous ciphertext block z_{i-1} 90 using the bit-wise exclusive-or operation 42, the enciphering being done by F_K 70, the block cipher F using key K 31, namely $z_i = F_K$ ($x_i \oplus z_{i-1}$), for i = 1, 2, ..., n where $z_0 = IV$. The encryption using cipher-block chaining outputs the collection of n ciphertext blocks z_i 90 as the ciphertext string z 94. Figure 5 presents an example where n = 4, the input plaintext string is $x = x_1 x_2 x_3 x_4$ and the output plaintext string is $z = z_1 z_2 z_3 z_4$.

Figure 6 illustrates a schematic diagram for decryption using as the selected encryption scheme (mode) the cipher-block chaining (CBC) mode, which is well known to those skilled in the art. Input ciphertext string z 94 is decrypted into an output plaintext string x 23 using a key K 31 and an initialization vector IV 81. Cipher-block chaining (CBC) comprises n steps of deciphering the current ciphertext block z_i 90 using F^{-1}_K 72, the inverse of the block cipher F using key K 31; the result of this deciphering is further combined with the previous ciphertext block z_{i-1} 90 using the bit-wise exclusive-or operation 42, namely $x_i = F^{-1}_K(z_i) \oplus z_{i-1}$, for i = 1, ..., n, where $z_0 = IV$. The decryption using cipher-block chaining outputs the collection of n plaintext blocks 21 as the output plaintext string x 23. Figure 6 presents an example where n = 4, the input ciphertext string is $z = z_1 z_2 z_3 z_4$ and the output plaintext string is $x = x_1 x_2 x_3 x_4$.

Figure 7 illustrates a schematic diagram for the preferred embodiment of this invention of the stateless encryption scheme. The input plaintext string x 23 (which is padded in a standard way) containing n plaintext blocks x_i 21 is encrypted using the encryption scheme 50 and the result of this encryption is the ciphertext string y 24 containing n+2 ciphertext blocks, namely ciphertext block y_0 25 and n+1 ciphertext blocks y_i 22 where i = 1, 2, ..., n. The encryption uses a pair of secret keys K and K' (i.e., a first key K 31, and a second key K' 32). The random-number generator 73 outputs the secret random number r_0 80 that is further enciphered with F_K 70, the block cipher F using the first key K 31, and the result is ciphertext block y_0 25. The secret random number r_0 is also enciphered

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with $F_{K'}$ 71, the block cipher F using the second key K' 32, to yield the secret random vector $IV=z_0$ 81.

In this embodiment, the plaintext blocks x_i 21 and the secret random vector z_0 81 are bit-wise exclusive-or-ed into MDC(x) \oplus z_0 62; i.e., MDC(x) \oplus $z_0 = x_1 \oplus ... \oplus x_n \oplus z_0$, and this value is appended to the plaintext string x and submitted to cipher-block chaining encryption 40. The cipher-block chaining (CBC) encryption scheme 40 uses F_K , the block cipher F using the first key K 31, and as initialization vector IV = z_0 81. The details about the implementation of the CBC encryption scheme 40 are provided in Figure 5. In this embodiment, the CBC encryption scheme 40 outputs n+1 hidden ciphertext blocks z_i 90. Figure 7 shows an example where n = 4; i.e. the hidden ciphertext blocks 90 are z_1 , z_2 , z_3 , z_4 , z_5 .

In the preferred embodiment of this invention of the stateless encryption, the hidden ciphertext blocks 90 are submitted to a randomization step comprising applying a combination operation 92 to each hidden ciphertext block z_i 90 and each *l*-bit element 91 of a sequence of n+1 elements. Each of these elements 91 is unpredictable because it is obtained by combining the secret random number r₀ 80 and the element identifier i such that for any given ℓ -bit constant a, the probability of the event $r_0 \times i = a$ is negligible, wherein the notion of negligible probability is well-known to those skilled in the art (viz., M. Naor and O. Reingold: "From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998; M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403). In the preferred embodiment of this invention, each unpredictable element 91 is obtained by multiplication modulo 2 of the element index i with the secret random number r₀ 80. In the preferred embodiment of this invention for sequential block encryption, each element $r_0 \times (i+1)$ of the sequence of unpredictable elements (where $i \ge 1$) is generated from the previous element $r_0 \times i$ by modular 2^{ℓ} addition of the secret random number r_0 , the first element of the sequence being the random number r₀ itself. It should be appreciated by those skilled in the art, and is a further aspect of this invention, that the unpredictable elements 91 and the combination

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operation 92 can be obtained in other ways that do not depart from the spirit and scope of the present invention as set forth in the claims. In an alternate embodiment of this invention, the unpredictable elements 91 are the elements of the linear congruence sequence defined by $a^i \times r_0$, where a is called the multiplier and is chosen to pass all the necessary spectral tests, i is the element index, i = 1, ..., n+1, and r_0 is the secret random number 80, as described by D.E. Knuth in ``The Art of Computer Programming - Volume 2: Seminumerical Algorithms," Addison-Wesley, 1981 (second edition), Chapter 3, incorporated herein by reference.

The combination operation 92 is an operation that has an inverse. In the preferred embodiment of this invention, the combination operation 92 is the modular 2^{ℓ} addition, whereby each ciphertext block is obtained as $y_i = z_i + r_0 \times i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, the combination operation 92 is the bit-wise exclusive-or operation. In yet another alternate embodiment of this invention, the combination operation 92 is the modular 2^{ℓ} subtraction operation. The invention, however, is not so limited, as other combination operations that have an inverse may also be used for operation 92.

The application of the combination operation 92 to the plurality of hidden ciphertext blocks 90 and the unpredictable elements 91 of the sequence results in a plurality of ciphertext blocks 22. Ciphertext block y_0 25 and the plurality of ciphertext blocks 22 form the ciphertext string y 24 that has n+2 blocks and is the output data of the encryption scheme 50. For the example presented in Figure 7, the ciphertext string 24 is $y = y_0 y_1 y_2 y_3 y_4 y_5$; i.e., has n+2=6 blocks.

Figure 8 illustrates a schematic diagram for the preferred embodiment of this invention of the stateless decryption. From the ciphertext string y 24, ciphertext block y_0 25 is deciphered using the inverse of the block cipher with the first key K 31, namely F^{-1}_{K} 72 to obtain the secret random vector r_0 80. The secret random vector r_0 80 is further enciphered by $F_{K'}$ 71, the block cipher F using the second key K' 32, to obtain the secret random vector z_0 81.

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The secret random number r_0 80 is used to obtain the unpredictable elements $r_0 \times i$ (modulo 2^l) 91 in the same way as at encryption (viz., Figure 7). These unpredictable elements $r_0 \times i$ 91 and the ciphertext blocks y_i 22 are combined using the subtraction modulo 2^l operation 93 (the inverse of that used at encryption) to yield n+1 hidden ciphertext blocks z_i 90; i.e., $z_i = y_i - r_0 \times i$ for any i = 1, ..., n+1. The invention, however, is not so limited, as other inverse combination operations may also be used for operation 93, the only restriction being that operation 93 is the inverse of the combination operation 92.

The n+1 hidden ciphertext blocks z_i 90 are presented to cipher-block chaining (CBC) decryption 41 that uses as $IV=z_0$ 81 and F^{-1}_K , the inverse of the block cipher F using the first key K 31; cipher-block chaining (CBC) decryption 41 is described in detail in Figure 6. Cipher-block chaining (CBC) decryption 41 returns n+1 blocks x_i . The last block x_{n+1} 63 represents the decrypted MDC block. The other n blocks x_i , namely $x_1, x_2, ..., x_n$, in accordance with one embodiment of the MDC function, are bit-wise exclusive-or-ed with the secret random vector z_0 81 to obtain computed MDC(x) \oplus z_0 62; i.e. MDC(x) \oplus $z_0 = x_1$ \oplus ... \oplus x_n \oplus z_0 . Then the computed MDC(x) \oplus z_0 and the decrypted MDC block z_{n+1} 63 are compared for equality at 64. If the computed MDC block MDC(z) \oplus z_0 62 and the decrypted MDC block 63 are not equal then the result of the decryption of the data string z_0 24 is the error indicator 20. If the computed MDC block MDC(z) z_0 62 and the decrypted MDC block 63 are equal then the output from the logical "and" operators 65 is the result of the decryption of the ciphertext string z_0 24 using the decryption scheme 51; i.e., the result is the plaintext string z_0 23 composed of n plaintext blocks z_0 21. For the example illustrated in Figure 8, the output of the decryption scheme 51 is the plaintext string z_0 23 composed of n plaintext string z_0 24.

Figure 9 illustrates a schematic diagram for the preferred embodiment of this invention of the stateful encryption scheme. The encryption scheme 52 uses a pair of secret keys K and K' (i.e., a first key K 31, and a second key K' 32). In this embodiment of the method of the invention a counter ctr 82 is enciphered using F_K 70, the block cipher F using the first key K 31, to yield the secret random number r_0 80. The secret random number r_0 is also enciphered with $F_{K'}$ 71, the block cipher F using the second key K' 32, to yield the secret random vector $IV = z_0$ 81.

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The plaintext blocks x_i 21 and the secret random vector z_0 81 are bit-wise exclusive-or-ed into MDC(x) \oplus z_0 62; i.e., MDC(x) \oplus $z_0 = x_1 \oplus ... \oplus x_n \oplus z_0$, and this value is appended to the plaintext string x and submitted to the cipher-block chaining encryption scheme 40. The cipher-block chaining encryption scheme 40 uses F_K , the cipher block F using the first key K 31, and as initialization vector $IV=z_0$ 81. The detailed operation of the cipher-block chaining scheme 40 are provided in Figure 5. In this embodiment, the cipher-block chaining scheme 40 outputs n+1 hidden ciphertext blocks z_i 90. Figure 9 shows an example where n = 4; i.e. the hidden ciphertext blocks 90 are z_1 , z_2 , z_3 , z_4 , z_5 .

In the preferred embodiment of this invention of the stateful encryption scheme, the hidden ciphertext blocks 90 are submitted to a randomization step comprising applying a combination operation 92 to each hidden ciphertext block z_i 90 and each *l*-bit element 91 of a sequence of n+1 elements. Each of these elements 91 is unpredictable because it is obtained by combining the secret random number r₀ 80 and the element identifier i such that for any given ℓ -bit constant a, the probability of the event $r_0 \times i = a$ is negligible, wherein the notion of negligible probability is well-known to those skilled in the art (viz., M. Naor and O. Reingold: "From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998; M. Bellare, A. Desai, E. Jokipii, and P. Rogaway: "A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on Foundations of Computer Science, IEEE, 1997, pp. 394-403). In the preferred embodiment of this invention, each unpredictable element 91 is obtained by multiplication modulo 2 of the element index i and the secret random number r₀ 80. In the preferred embodiment of this invention for sequential block encryption, each element $r_0 \times (i+1)$ of the sequence of unpredictable elements (where $i \geq 1)$ is generated from the previous element $r_0 \times i$ by modular 2^{ℓ} addition of the secret random number r_0 , the first element of the sequence being the random number r₀ itself. It should be appreciated by those skilled in the art, and is a further aspect of this invention, that the unpredictable elements 91 and the combination operation 92 can be obtained in other ways that do not depart from the spirit and scope of the present invention as set forth in the claims. In an alternate embodiment of this invention, the unpredictable elements 91 are the elements of the linear congruence sequence defined

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by $a^i \times r_0$, where a is called the multiplier and is chosen to pass all the necessary spectral tests, i is the element index, $i=1,\ldots,n+1$, and r_0 is the secret random number 80, as described by D.E. Knuth in ``The Art of Computer Programming - Volume 2: Seminumerical Algorithms," Addison-Wesley, 1981 (second edition), Chapter 3, incorporated herein by reference.

The combination operation 92 is an operation that has an inverse. In the preferred embodiment of this invention, the combination operation 92 is the modular 2^{ℓ} addition, whereby each ciphertext block is obtained as $y_i = z_i + r_0 \times i$ modulo 2^{ℓ} . In an alternate embodiment of this invention, the combination operation 92 is the bit-wise exclusive-or operation. In yet another alternate embodiment of this invention, the combination operation 92 is modular 2^{ℓ} subtraction operation. The invention, however, is not so limited, as other combination operations that have an inverse may also be used for operation 92.

The application of the combination operation 92 to the plurality of hidden chiphertext blocks 90 and the unpredictable elements 91 of the sequence results in a plurality of ciphertext blocks 22. The plurality of ciphertext blocks 22 forms the ciphertext string y 24 that has n+1 blocks. For the example presented in Figure 9, the ciphertext string 24 is $y = y_1 y_2 y_3 y_4 y_5$; i.e., has n+1=5 blocks. The counter ctr 82 and the ciphertext string y 24 representing the output of the encryption scheme 52 form the output message data.

With the encryption of each plaintext string, the current value of the counter ctr is incremented, or otherwise changed to a new value, at 83. This new value is used to encrypt the next plaintext string.

Figure 10 illustrates a schematic diagram for the preferred embodiment of this invention of the stateful decryption scheme. From the string presented for decryption comprising the counter ctr 82 and ciphertext string y 24, the counter ctr 82 is enciphered using F_K 70, the block cipher F using the first key K 31, and the secret random number r_0 80 is obtained. Given the secret random number r_0 80, the ciphertext string y 24, composed of n+1 ciphertext blocks y_i 22, is decrypted by the decryption scheme 53 as in Figure 8 to

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obtain either the plaintext string x 23 composed of n plaintext blocks x_i 21 or the error indicator 20.

Figure 11 illustrates a schematic diagram for an alternate embodiment of this invention of the stateful encryption scheme. The encryption scheme 54 uses a pair of secret keys K and K' (i.e., a first key K 31, and a second key K' 32). In this alternate stateful embodiment of the method of the invention a counter ctr 82 is enciphered using F_K 70, the block cipher F using the first key K 31, to yield the secret random number r_0 80. Furthermore, the secret random number r_0 80 is enciphered with F_K 70, the block cipher F using key K 31, to yield the ciphertext block y_0 25. The secret random number r_0 is also enciphered with F_K 71, the block cipher F using the second key K' 32, to yield the secret random vector $IV = z_0$ 81.

The plaintext blocks x_i 21 and the secret random vector z_0 81 are bit-wise exclusive-or-ed into MDC(x) \oplus z_0 62; i.e., MDC(x) \oplus $z_0 = x_1 \oplus ... \oplus x_n \oplus z_0$, and this value is appended to the plaintext string x and submitted to the cipher-block chaining encryption scheme 40.

The cipher-block chaining encryption scheme 40 uses F_K , the block cipher F using the secret first key K 31, and as initialization vector $IV = z_0$ 81. The details about the implementation of cipher-block chaining (CBC) 40 are provided in Figure 5. Cipher-block chaining (CBC) 40 outputs n+1 hidden ciphertext blocks z_i 90. Figure 11 shows an example where n = 4; i.e. the hidden ciphertext blocks 90 are z_1 , z_2 , z_3 , z_4 , z_5 .

In this alternate embodiment of the stateful encryption scheme, the hidden ciphertext blocks 90 are submitted to a randomization step comprising applying a combination operation 92 to each hidden ciphertext block z_i 90 and each ℓ -bit element 91 of a sequence of n+1 elements. Each of these elements 91 is unpredictable because it is obtained by combining the secret random number r_0 80 and the element identifier i such that for any given ℓ -bit constant a, the probability of the event $r_0 \times i = a$ is negligible, wherein the notion of negligible probability is well-known to those skilled in the art (viz., M. Naor and O. Reingold: ``From Unpredictability to Indistinguishability: A Simple Construction of Pseudo-Random Functions from MACs," Advances in Cryptology - CRYPTO '98 (LNCS 1462), pp. 267-282, 1998; M. Bellare, M. Desai, M. Desai, M. Desai, M. Rogaway: ``A Concrete Security Treatment of Symmetric Encryption," Proceedings of the 38th Symposium on

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Foundations of Computer Science, IEEE, 1997, pp. 394-403). In this alternate embodiment, each unpredictable element 91 is obtained by multiplication modulo 2 of the element index i with the secret random number r₀ 80. In this alternate embodiment, when encryption is performed sequentially, each element $r_0 \times (i+1)$ of the sequence of unpredictable elements (where $i \ge 1$) is generated from the previous element $r_0 \times i$ by modular 2^{ℓ} addition of the secret random number r₀, the first element of the sequence being the random number r₀ itself. It should be appreciated by those skilled in the art, and is a further aspect of this invention, that the unpredictable elements 91 and the combination operation 92 can be obtained in other ways that do not depart from the spirit and scope of the present invention as set forth in the claims. In yet another alternate embodiment of this invention, the unpredictable elements 91 are the elements of the linear congruence sequence defined by $a^{i} \times r_{0}$, where a is called the multiplier and is chosen to pass all the necessary spectral tests, i is the element index, i = 1, ..., n+1, and r_0 is the secret random number 80, as described by D.E. Knuth in "The Art of Computer Programming - Volume 2: Seminumerical Algorithms," Addison-Wesley, 1981 (second edition), Chapter 3, incorporated herein by reference.

In this alternate embodiment of this invention of the stateful encryption, the combination operation 92 is the modular 2^{ℓ} addition, whereby each ciphertext block is obtained as $y_i = z_i + r_0 \times i$ modulo 2^{ℓ} . In yet another alternate embodiment of this invention, the combination operation 92 is the bit-wise exclusive-or operation. In yet another alternate implementation, the combination operation 92 is the modular 2^{ℓ} subtraction operation. The invention, however, is not so limited, as other combination operations that have an inverse may also be used for operation 92.

The application of the combination operation 92 to the plurality of hidden ciphertext blocks 90 and the unpredictable elements 91 of the sequence results in a plurality of ciphertext blocks 22. Ciphertext block y₀ 25 and the plurality of ciphertext blocks 22 form the ciphertext string y 24 that has n+2 blocks and is the output data of the encryption

scheme 54. For the example presented in Figure 11, the ciphertext string 24 is $y = y_0 y_1 y_2 y_3 y_4 y_5$; i.e., has n+2=6 blocks.

Figure 12 illustrates a schematic diagram for the alternate embodiment of this invention of the stateful decryption scheme. The decryption of the ciphertext string y 24 composed of the ciphertext block y₀ 25 and the n+1 ciphertext blocks y_i 22 is done by the decryption scheme 55 in exactly the same way as in Figure 8.

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Figure 13 illustrates a schematic diagram for the preferred embodiment of the L-processor stateful parallel encryption scheme. Input plaintext string x 23 composed of n plaintext blocks x_i 21 is encrypted using a pair of secret keys K 31 and K' 32 to obtain output ciphertext string y 24 composed of ciphertext blocks y_i 22. The plaintext string x 23 (which is padded in a standard way) is partitioned into a plurality of plaintext segments 26, such that the number of segments is equal to the number of processors L. Each plaintext segment contains a plurality of plaintext blocks x_i 21. Figure 13 shows an example in which the number of processors is L=3, and the plaintext string x 23 has 12 plaintext blocks x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10} x_{11} x_{12} ; furthermore, plaintext segment 1 is composed of plaintext blocks x_1 x_2 x_3 x_4 , plaintext segment 2 is composed of plaintext blocks x_5 x_6 x_7 x_8 , and plaintext segment 3 is composed of plaintext blocks x_9 x_{10} x_{11} x_{12} . Note that although in the example presented in Figure 13, the plaintext segments 26 have the same number of plaintext blocks 21, this is not required.

A counter ctr 82 is enciphered using F_K 70, the block cipher F using the first key K 31, to yield the secret random number r_0 80. From the secret random number r_0 80, different secret random numbers 84 are generated for each plaintext segment 26. Each plaintext segment 26 is encrypted using the encryption scheme 52 (viz., Figure 9), using the secret random number generated at 84 and the secret keys K 31 and K' 32 to obtain a plurality of ciphertext blocks 22. The plurality of ciphertext blocks 22 for each processor segments are combined into a ciphertext segment 27. The ciphertext segments 27 are further assembled together with the number of ciphertext segments L, the length of each ciphertext segment and the ciphertext segment sequence into the ciphertext string y 24. The ciphertext string y 24 contains n + L ciphertext blocks. Figure 13 shows an example in which plaintext segment 1 is encrypted using the encryption scheme 52, the secret random number $r_0 + 1$ generated at 84, the secret keys K 31 and K' 32 to obtain the ciphertext blocks y_1 y_2 y_3 y_4 y'_5 ; plaintext segment 2 is encrypted using the encryption scheme 52, the secret random

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number $r_0 + 2$ generated at 84, the secret keys K 31 and K' 32 to obtain the ciphertext blocks y_5 y_6 y_7 y_8 y'_9 ; and plaintext segment 3 is encrypted using the encryption scheme 52, the secret random number $r_0 + 3$ generated at 84, the secret keys K 31 and K' 32 to obtain the ciphertext blocks y_9 y_{10} y_{11} y_{12} y'_{13} . In the example presented in Figure 13, the ciphertext string 24 is $y = y_1$ y_2 y_3 y_4 y'_5 y_5 y_6 y_7 y_8 y'_9 y_9 y_{10} y_{11} y_{12} y'_{13} and contains n + L = 12 + 3 = 15 ciphertext blocks.

With the encryption of each plaintext string, the current value of the counter ctr is incremented with the number of plaintext segments L, or otherwise changed to a new value, at 83. This new value is used to encrypt the next plaintext string.

Figure 14 illustrates a schematic diagram for the preferred embodiment of the L-processor stateful parallel decryption scheme. Input ciphertext string y 24 is decrypted to obtain output plaintext x 23 or the failure indicator 29. The parsing of the ciphertext string y yields the number of ciphertext segments L, the length of each ciphertext segment and the ciphertext segment sequence; furthermore, the ciphertext string y 24 is partitioned into a plurality of ciphertext segments 27, such that the number of segments is equal to the number of processors L. Each segment contains a plurality of ciphertext blocks y_i 22. Figure 14 shows an example in which the number of processors is L = 3, the ciphertext string y 24 has 15 ciphertext blocks y₁ y₂ y₃ y₄ y'₅ y₅ y₆ y₇ y₈ y'₉ y₉ y₁₀ y₁₁ y₁₂ y'₁₃ and the number of processors is 3; furthermore, ciphertext segment 1 is composed of ciphertext blocks y₁ y₂ y₃ y₄ y'₅, ciphertext segment 2 is composed of ciphertext blocks y₅ y₆ y₇ y₈ y'₉, and ciphertext segment 3 is composed of ciphertext blocks y₉ y₁₀ y₁₁ y₁₂ y'₁₃. Note that although in the example presented in Figure 14, the ciphertext segments 27 have the same number of ciphertext blocks 22, this is not required.

A counter ctr 82 is enciphered using F_K 70, the block cipher F using the first key K 31, to yield the secret random number r_0 80. From the secret random number r_0 80, different secret random numbers are generated at 84 for each ciphertext segment 27 in the same manner as that used at the encryption. Each ciphertext segment 27 is decrypted using the decryption scheme 53 (viz., Figure 10), using the secret random number generated at 84 and the secret keys K 31 and K' 32 to obtain a plurality of plaintext blocks 21 or the error indicators 20. The pluralities of plaintext blocks 21 are combined into plaintext segments 26, and the plurality of the plaintext segments 26 are combined into the plaintext string x 22. Figure 14 shows an example in which ciphertext segment 1 is decrypted using the

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decryption scheme 53, the secret random number r₀ + 1 generated at 84, the secret keys K 31 and K' 32 to obtain the plaintext blocks x_1 x_2 x_3 x_4 or an error indicator error 1; ciphertext segment 2 is decrypted using the decryption scheme 53, the secret random number $r_0 + 2$ generated at 84, the secret keys K 31 and K' 32 to obtain the plaintext blocks x₅ x₆ x₇ x₈ or an error indicator error2; and ciphertext segment 3 is decrypted using the decryption scheme 53, the secret random number r₀ + 3 generated at 84, the secret keys K 31 and K' 32 to obtain the plaintext blocks $x_9 x_{10} x_{11} x_{12}$ or an error indicator error3. The error indicators 20 are further input to a logical "nor" gate 66 to determine whether any error occurred. If no error occurred, then the output of the logical "nor" gate 66 indicates a "1". The output of the "nor" gate 66 is "0" if at least one error occurred. Furthermore, comparator 67 verifies whether the output of the "nor" gate 66 is "0", in which case it outputs the error indicator 29. If the output of the "nor" gate 66 is "1", the logical "and" operators 65 output a plurality of plaintext blocks x_i 21 that is the result of the decryption of the ciphertext blocks y_i 22 using the decryption scheme 53. The logical "and" operators 65 allow the output of plaintext blocks x_i only if the output of the comparator 67 is "yes". For each processor, the plurality of plaintext blocks 21 are combined into a plaintext segment 26, and the plurality of plaintext segments 26 are further combined into the plaintext string x 23. In the example of Figure 14, the plaintext string $x = x_1 x_2 x_3 x_4 x_5 x_6 x_7 x_8 x_9 x_{10} x_{11} x_{12}$.

The present invention in the preferred embodiment for the L-processor parallel scheme for the decryption of the input ciphertext string y 24, inherently includes the detection of out-of-sequence ciphertext segments and ciphertext segment length modifications, and length of the ciphertext string modifications, in which case the error message is output.

Additional details of the embodiment of the method of the present invention are now presented. The encryption schemes presented in this method process plaintext strings whether or not they are multiple of a desired block length ℓ . The method begins by selecting F, an ℓ -bit block cipher using keys of length k. For example, ℓ is 64 and k = 56 when F is the DES algorithm. Of course, other block ciphers (including, but not limited to IDEA, AES) besides DES can also be used.

In Figures 1-2 and 7-12, the secret random vector $IV = z_0$ is computed by enciphering the secret random number r_0 with a second key K'. In an alternate embodiment

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of this invention, the secret random vector z_0 is obtained by enciphering a variant of r_0 using the first key K, e.g., $z_0 = F_K(r_0 + c)$ where c is a constant. Yet other embodiments of this invention can provide means for generating the random vector z_0 wherein z_0 is secret, random and independent of r_0 .

It should be appreciated by those skilled in the art that the specific embodiments disclosed above may be readily utilized as a basis for modifying or designing other techniques and routines for carrying out the same purposes and spirit of the present invention as set forth in the claims.

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The foregoing description of a preferred embodiment of the invention has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed, and modifications and variations are possible in light of the above teachings or may be acquired from practice of the invention. The embodiments were chosen and described in order to explain the principles of the invention and its practical application to enable one skilled in the art to utilize the invention in various embodiments and with various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined the claims appended hereto, and their equivalents.